

FOUNDATIONS ON SHRINKING AND SWELLING SOILS

(Prediction of Movement, Construction Issues)

by

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ACKNOWLEDGEMENTS

- **ENVIRONMENTAL SOIL STABILIZATION LTD.**

Russ Scharlin

Johny Sherwood

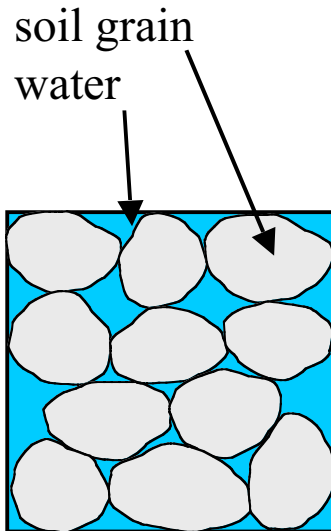
- **SPENCER J. BUCHANAN PROFESSORSHIP**

- **GILES ENGINEERING ASSOCIATES INC.**

Doug Dayton

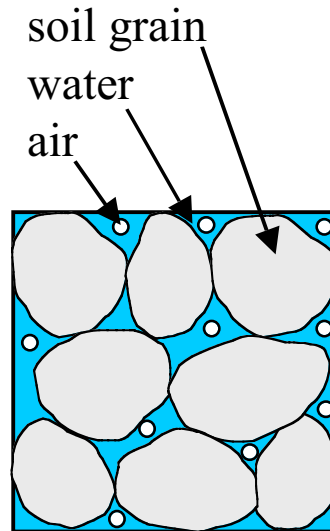
OUTLINE

- **FUNDAMENTAL BEHAVIOR**
- **SHRINK TEST – WATER CONTENT METHOD**
- **CASE STUDY**
- **SMART FOUNDATION**



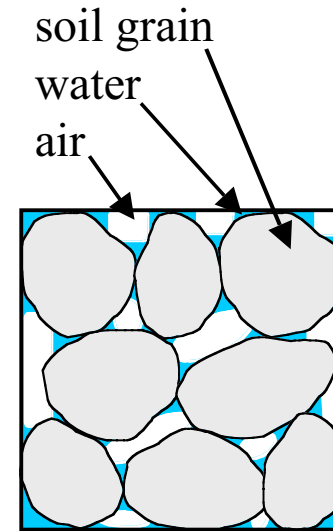
Saturated

$$\begin{aligned}
 u_w &\neq 0 \\
 u_a &= 0 \\
 \sigma' &= \sigma - u_w
 \end{aligned}$$



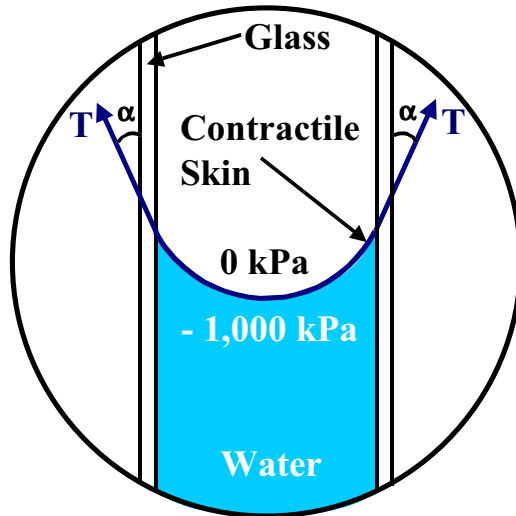
Occluded Air

$$\begin{aligned}
 u_w &= u_a \\
 \sigma' &= \sigma - u_w
 \end{aligned}$$



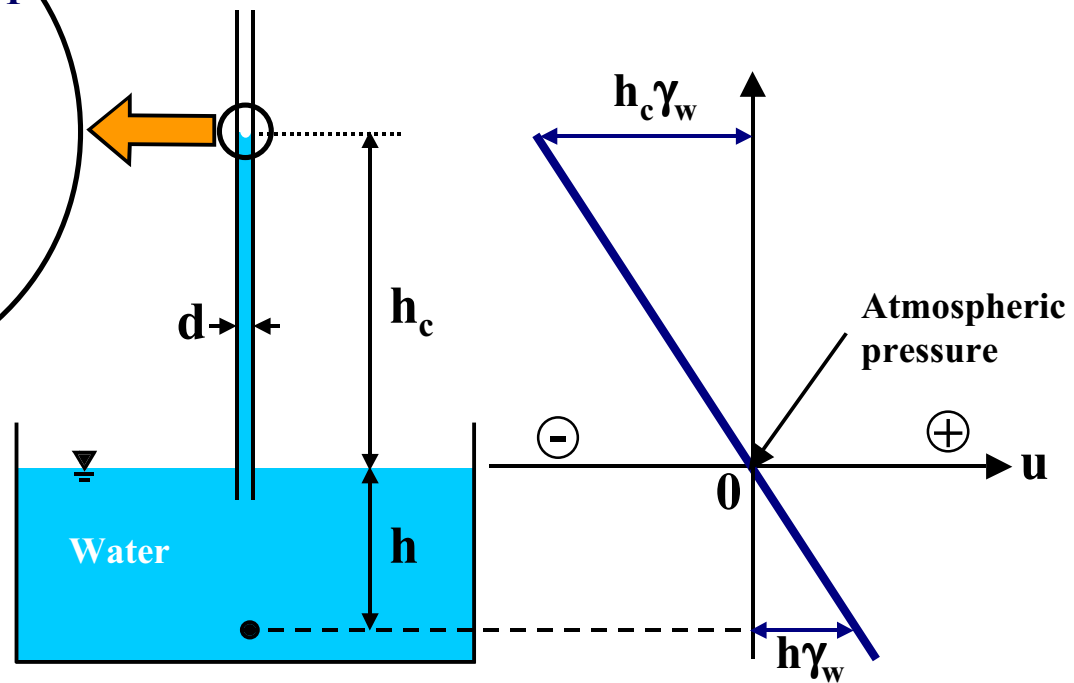
Continuous Air

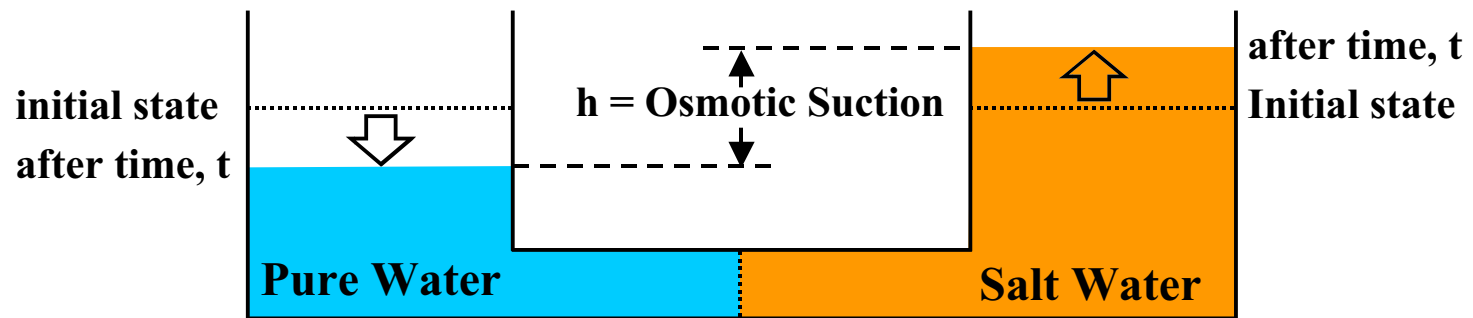
$$\begin{aligned}
 u_w &\neq 0 \\
 u_a &= 0 \\
 \sigma' &= \sigma - \alpha u
 \end{aligned}$$



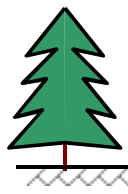
$$h_c = \frac{4 T \cos \alpha}{d \tilde{\alpha}_w}$$

where $T = 72 \text{ mN/m}$

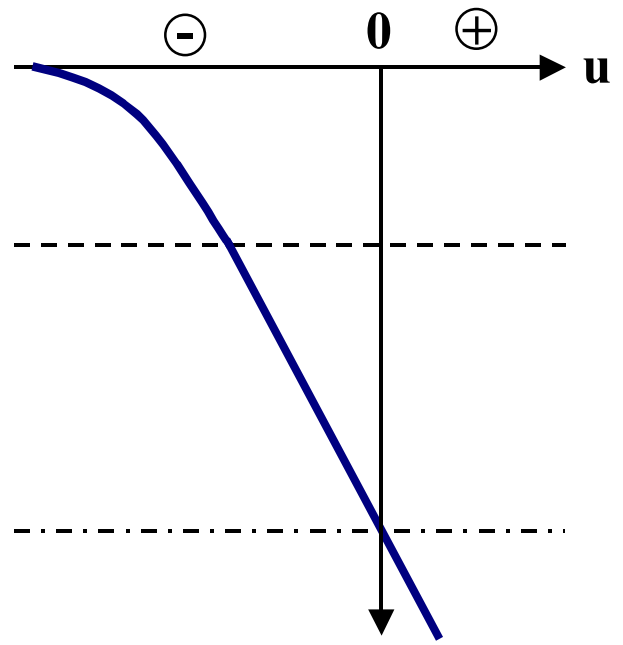




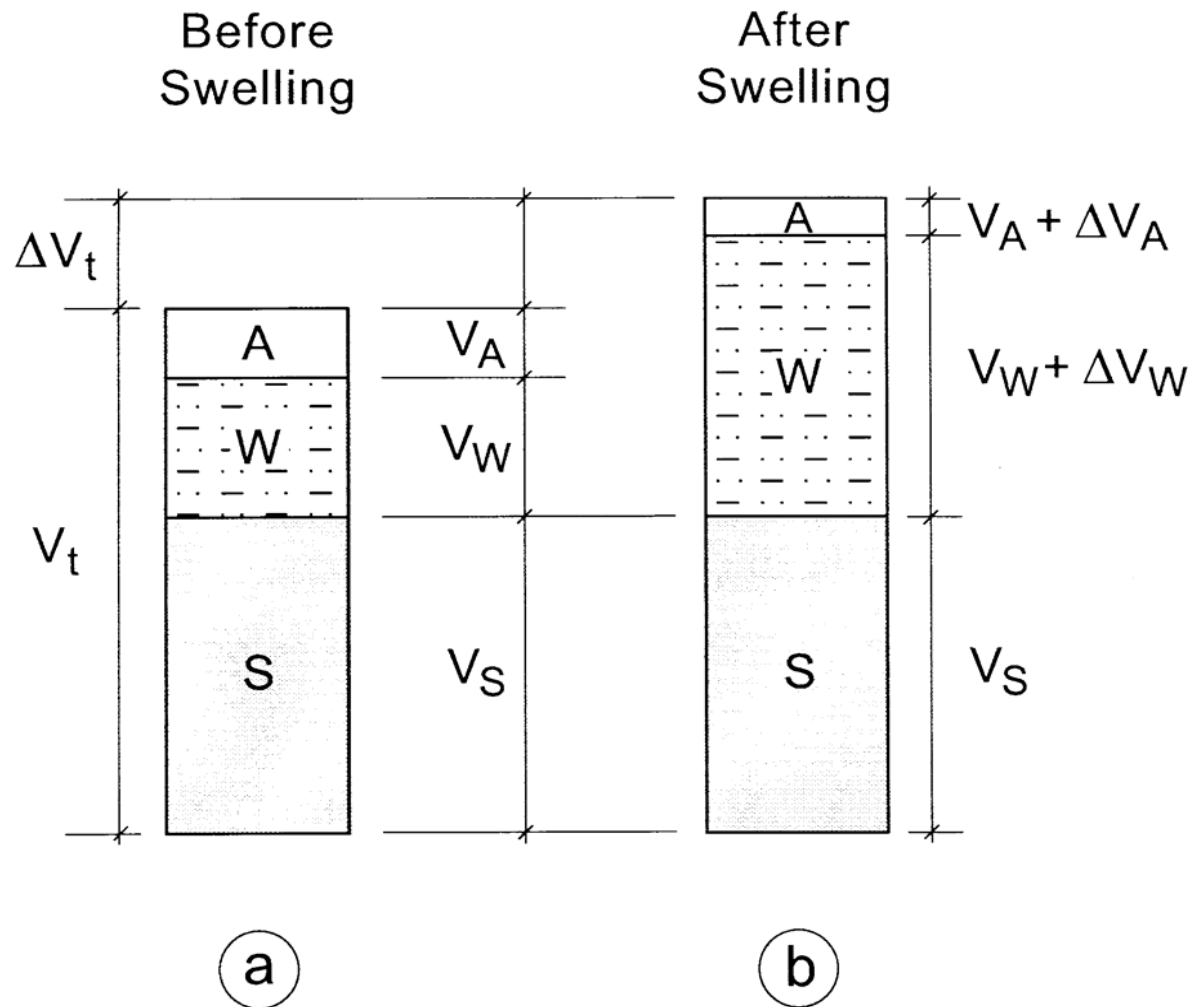
| Water State | Examples | Suction | | | Degree of Saturation | Water Content | Swell | Shrink |
|--|-------------------------------|---------|---------|---------|----------------------|---------------|---------------------------------|---------------------------------|
| | | pF | cm | kPa | | | | |
| Suction Tension ↑ ↓ | Oven Dry | 7 | -10^7 | -10^6 | 0 | 0 | YES ↑ ↓ NO | NO ↑ ↓ YES |
| | Air Dry | 6 | -10^6 | -10^5 | | | | |
| | Shrinkage Limit | 4 | -10^4 | -10^3 | Near 100 % | 8 to 15 % | | |
| | Field Capacity Swell Limit | 2 | -10^2 | -10^1 | | 25 to 50 % | | |
| Compression ↓ ↑ | | 0 | 0 | 0 | 100 % | | NO | |
| | Large River | | 10^3 | 10^2 | | | | |
| | Deepest Offshore Platforms | | 10^5 | 10^4 | | | | |
| | Bottom of Deepest Ocean | | 10^9 | 10^8 | | | | |

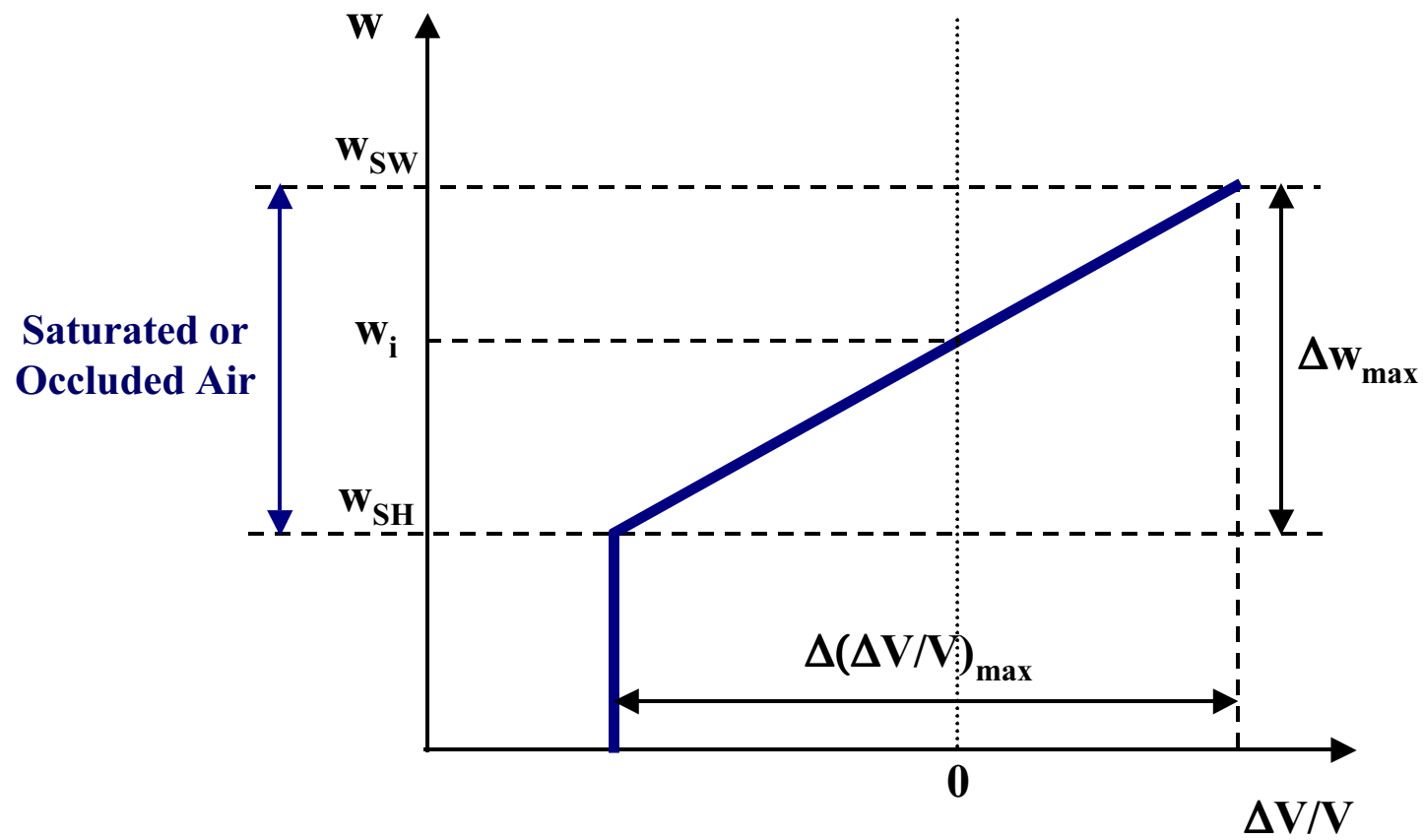


| Soil State | Swell | Shrink |
|---|-------|--------|
| Unsaturated | Yes | No |
| Saturated | Yes | Yes |
| <div style="display: flex; align-items: center; justify-content: center;"> ∇ GWL </div> | | |
| Saturated | No | Yes |

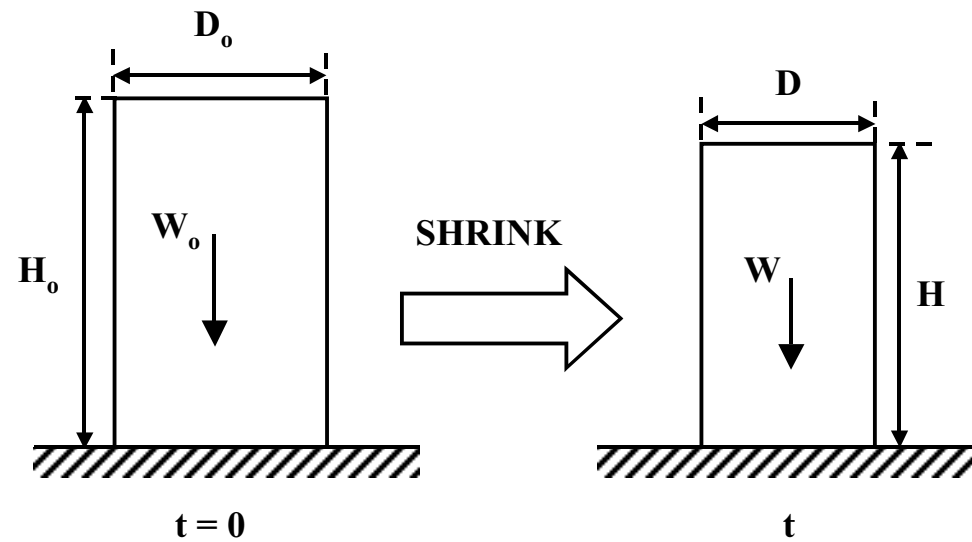


PHASE DIAGRAMS





SHRINK TEST PARAMETERS

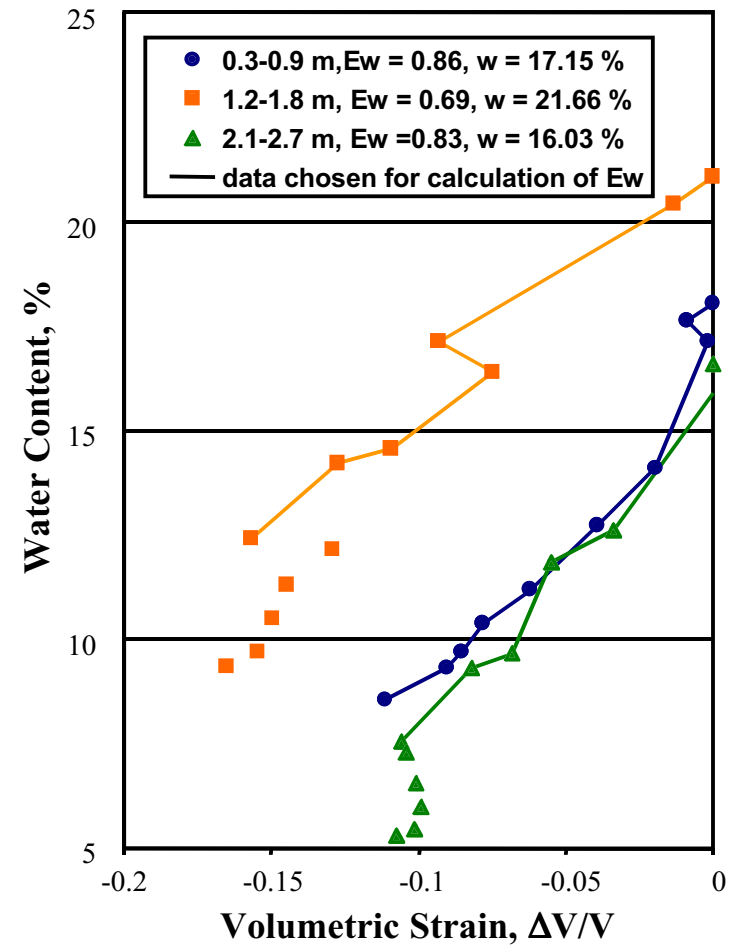


SHRINK TEST

Shrink Test

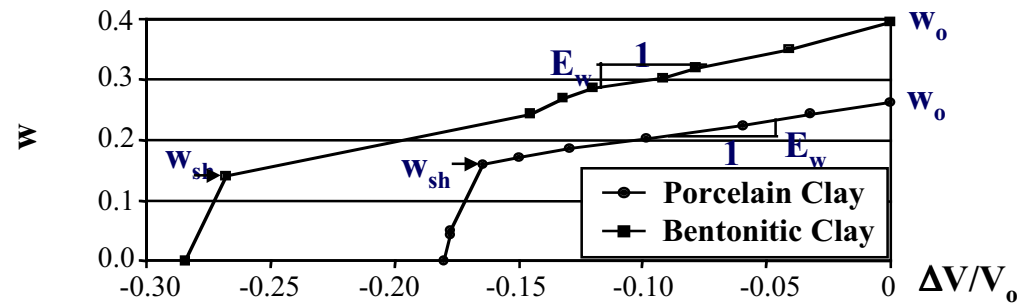
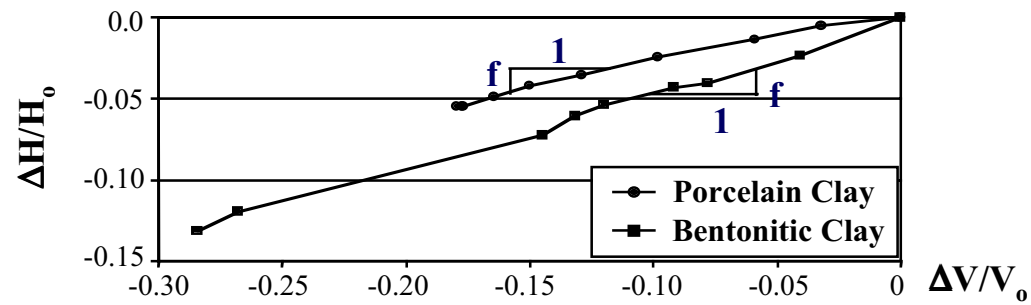
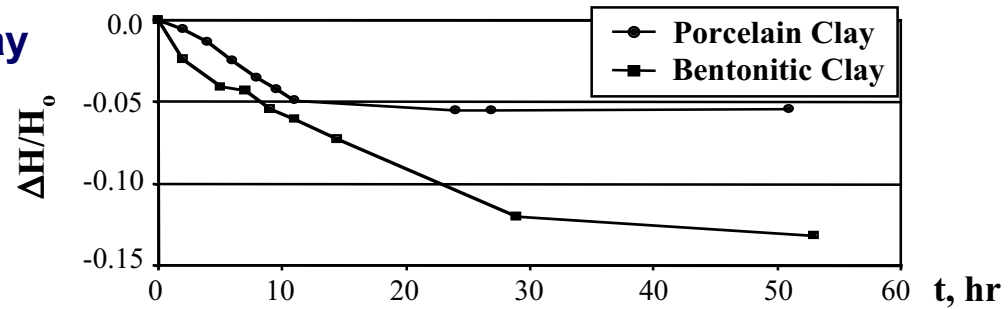


Shrink Test Example (B/RF1/6)



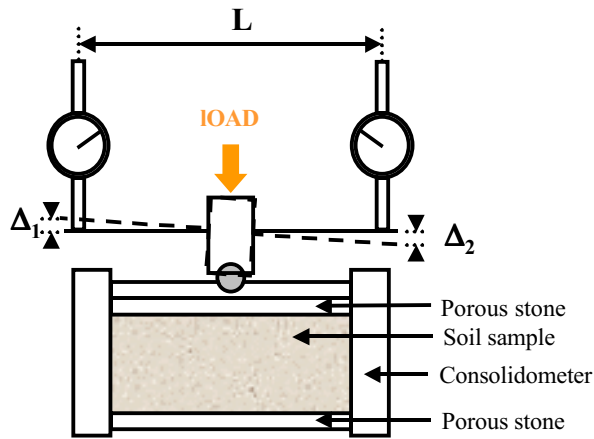
SHRINK TEST RESULTS (1)

Porecelain Clay and Bentonite Clay

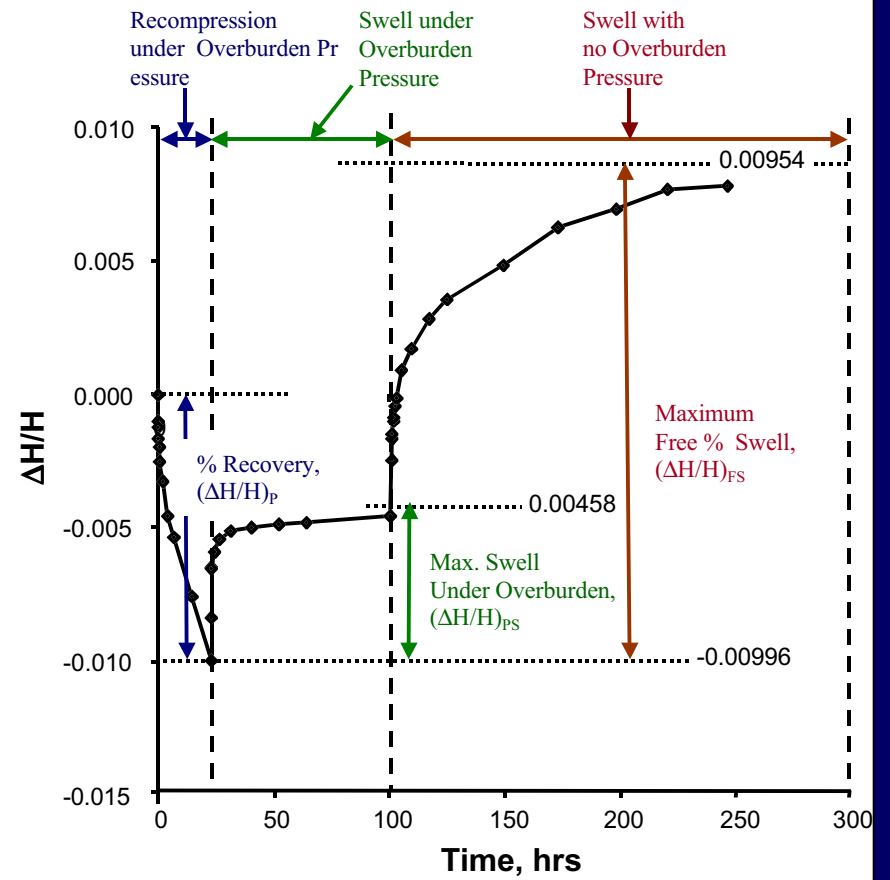


SWELL TEST

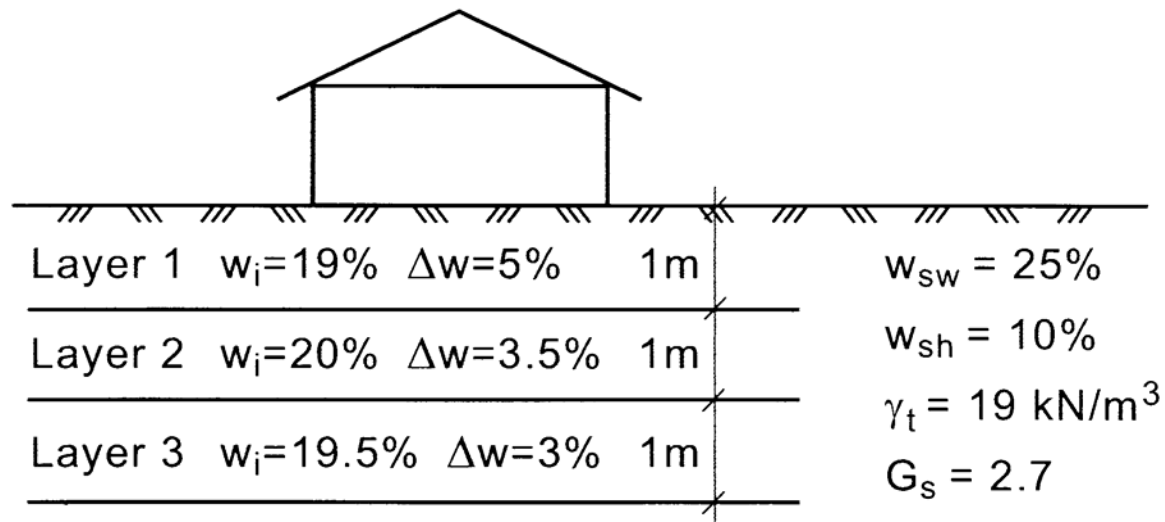
Swell Test



Swell Test Example (B/RF1/6 0.3–0.9 m)



EXAMPLE OF THE PREMISSE METHOD



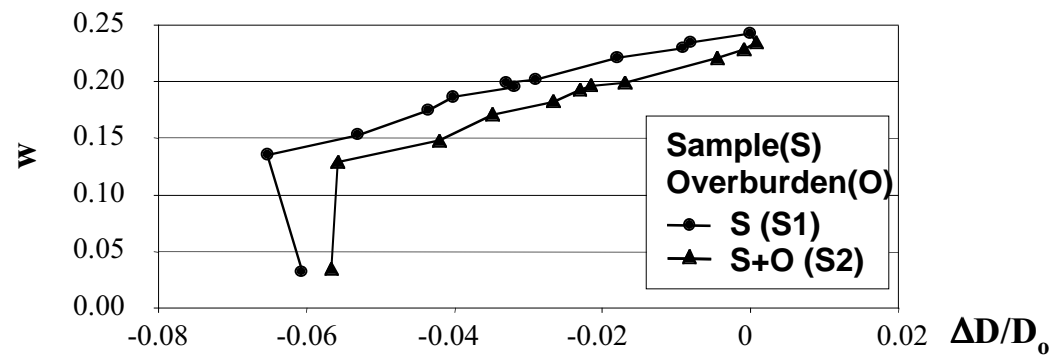
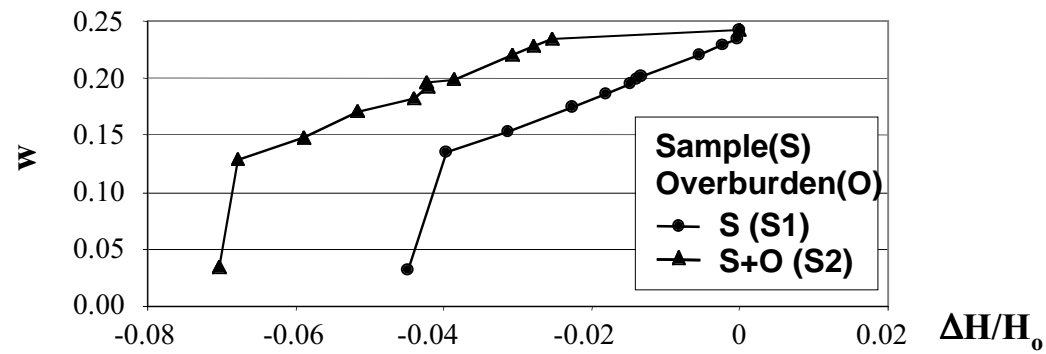
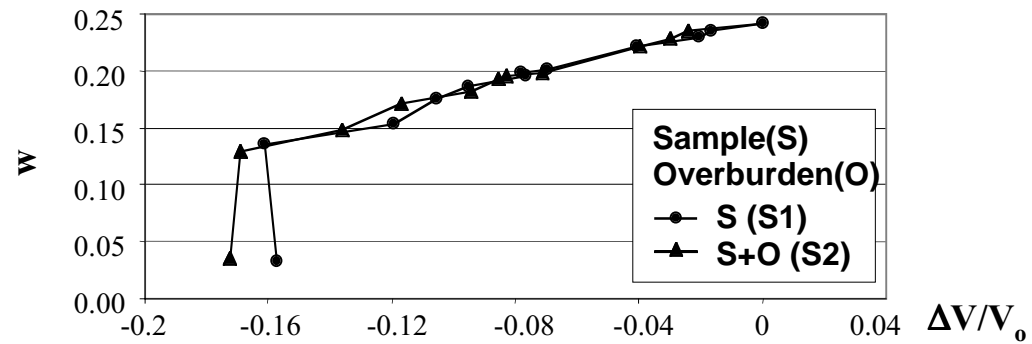
| | w | s | n | Δw | $\frac{\Delta V_w}{V_t}$ | $\frac{\Delta V_a}{V_t}$ | $\frac{\Delta V_t}{V_t}$ | ΔH^* (mm) |
|---------|-------|------|------|------------|--------------------------|--------------------------|--------------------------|----------------------|
| Layer 1 | 0.19 | 0.78 | 0.40 | 0.05 | 0.0821 | -0.0235 | 0.0586 | 58.6 |
| Layer 2 | 0.20 | 0.80 | 0.40 | 0.035 | 0.0560 | -0.0149 | 0.0411 | 41.1 |
| Layer 3 | 0.195 | 0.79 | 0.40 | 0.03 | 0.0486 | -0.0134 | 0.0352 | 35.2 |

*Using $H_t =$ layer thickness and $\alpha = 1$.

$\Delta H_t = 134.9 \text{ mm}$

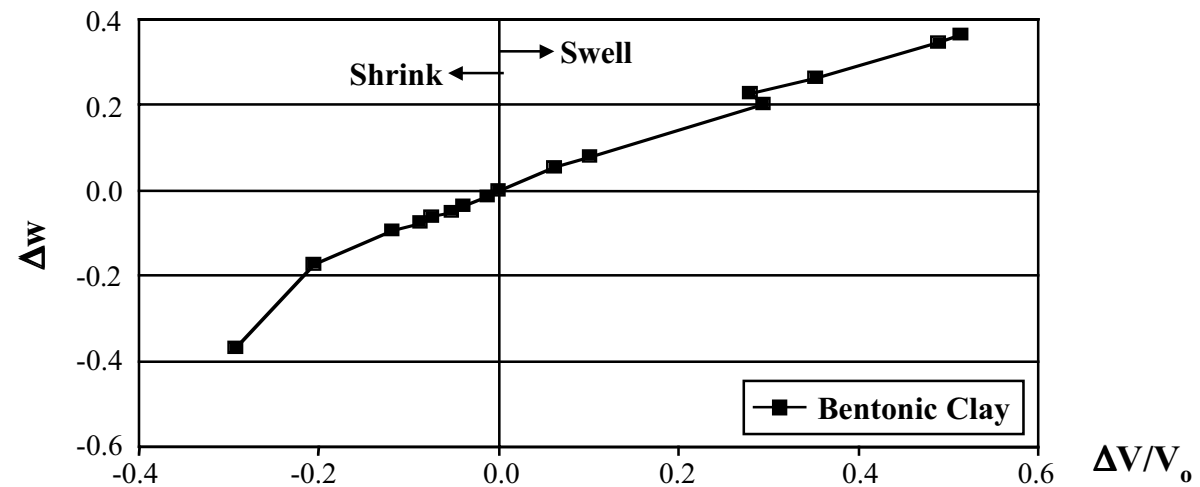
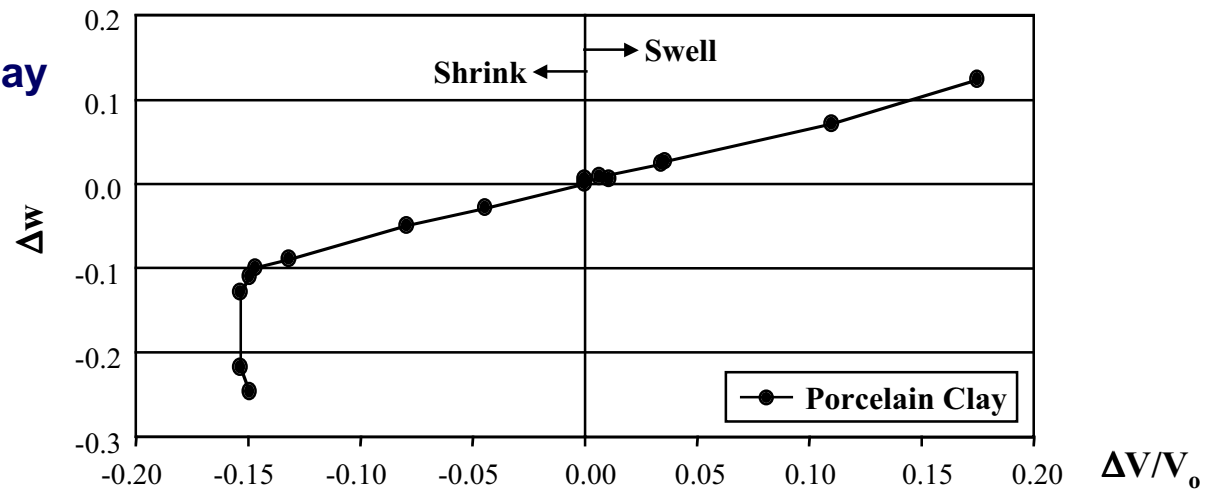
SHRINK TEST RESULTS (3)

Influence of Vertical Pressure



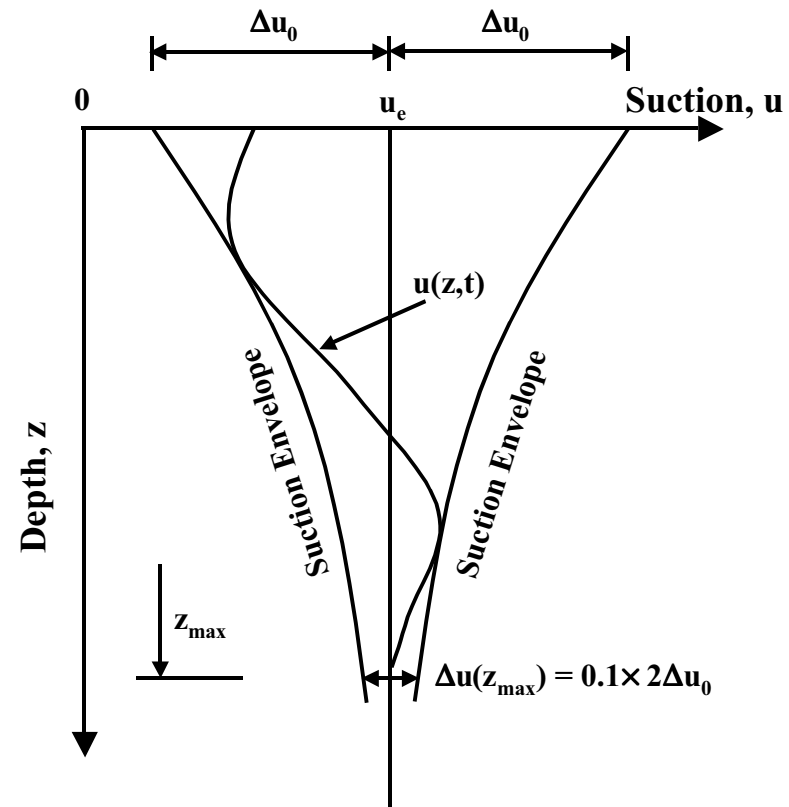
SHRINK AND SWELL TEST RESULTS

Porecelain Clay and Bentonite Clay



SUCTION VARIATION WITH DEPTH

After Mitchell (1979)



After Mitchell (1979)

$$\Delta u(z) = 2 \Delta u_0 \exp \left(-\left(\frac{z}{T_0 \alpha} \right)^{0.5} \right)$$

$$\Delta w = f(\Delta u) \quad \text{characteristic curve}$$

$$z_{\max} = 1.3 (T_0 \alpha)^{0.5}$$

where Δu = change in suction at depth z

Δu_0 = change in suction at ground surface

T_0 = period of weather cycle

α = diffusion coefficient

z = depth below ground surface

Δw = change in water content

z_{\max} = maximum depth of water content change

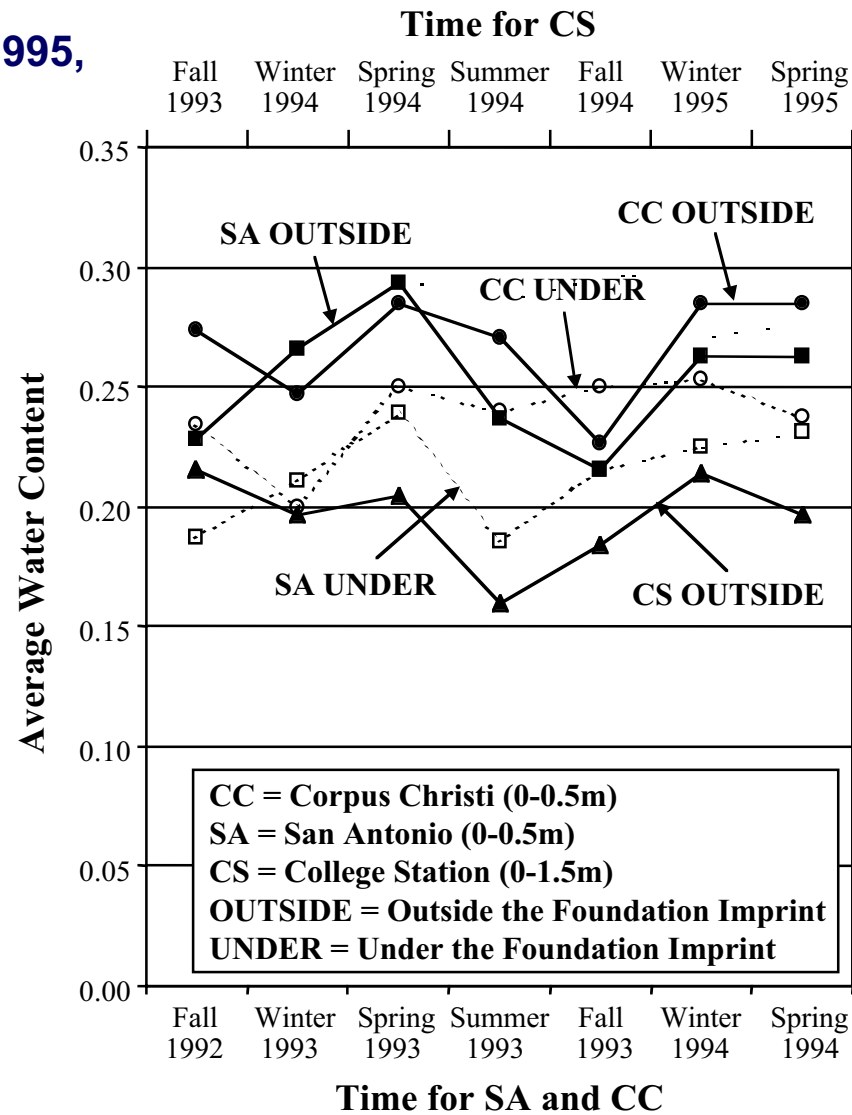
After Styron et al. (2001)

$$LI \times LL (\%) = \pm 30$$

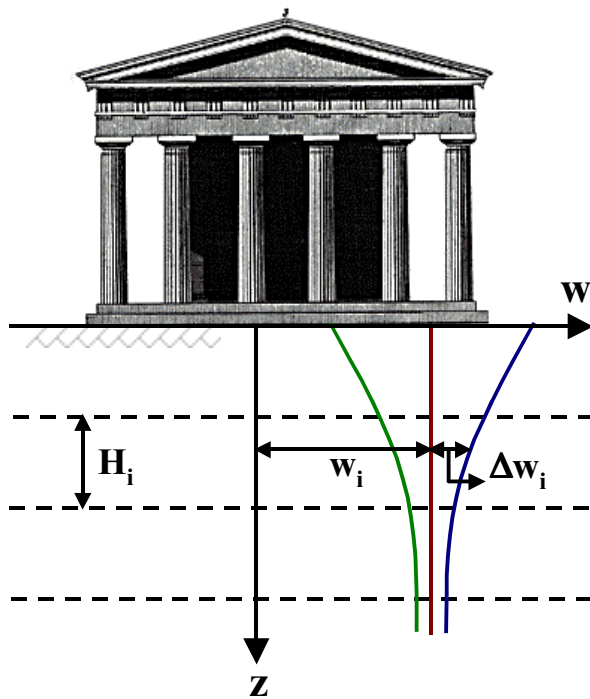
$$\ddot{A}_w = 0.6 (PI/LL)$$

WATER CONTENT VARIATION AS A FUNCTION OF TIME

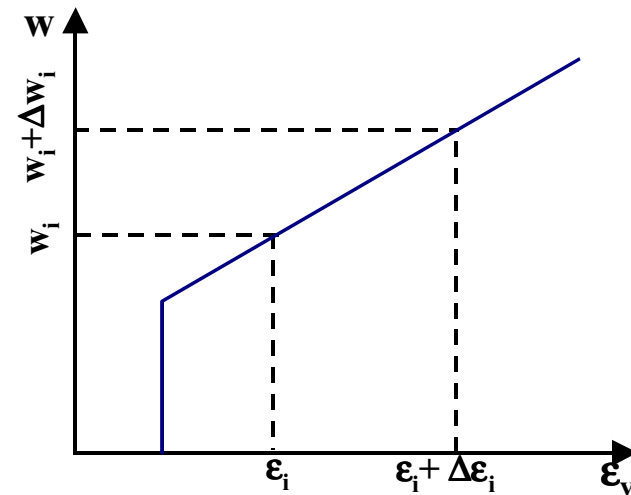
From Posey, Briaud, 1995,
Woodfin, Briaud, 1997



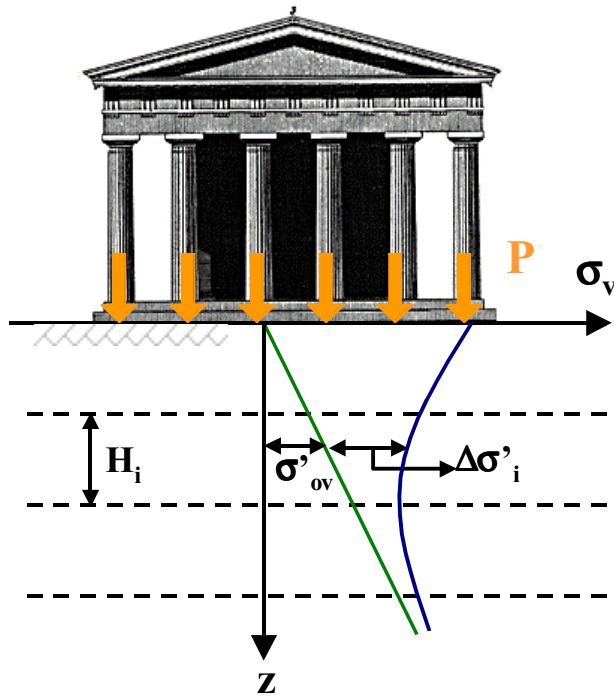
MOISTURE INDUCED MOVEMENT



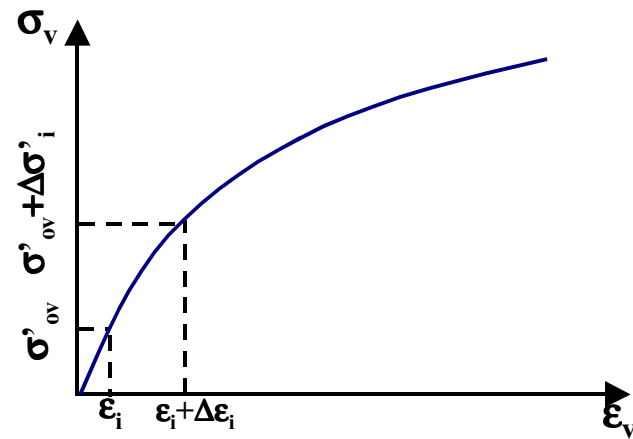
$$S = \sum H_i \Delta \epsilon_i = \sum H_i f \frac{\Delta w_i}{E_{w_i}}$$



WEIGHT INDUCED SETTLEMENT

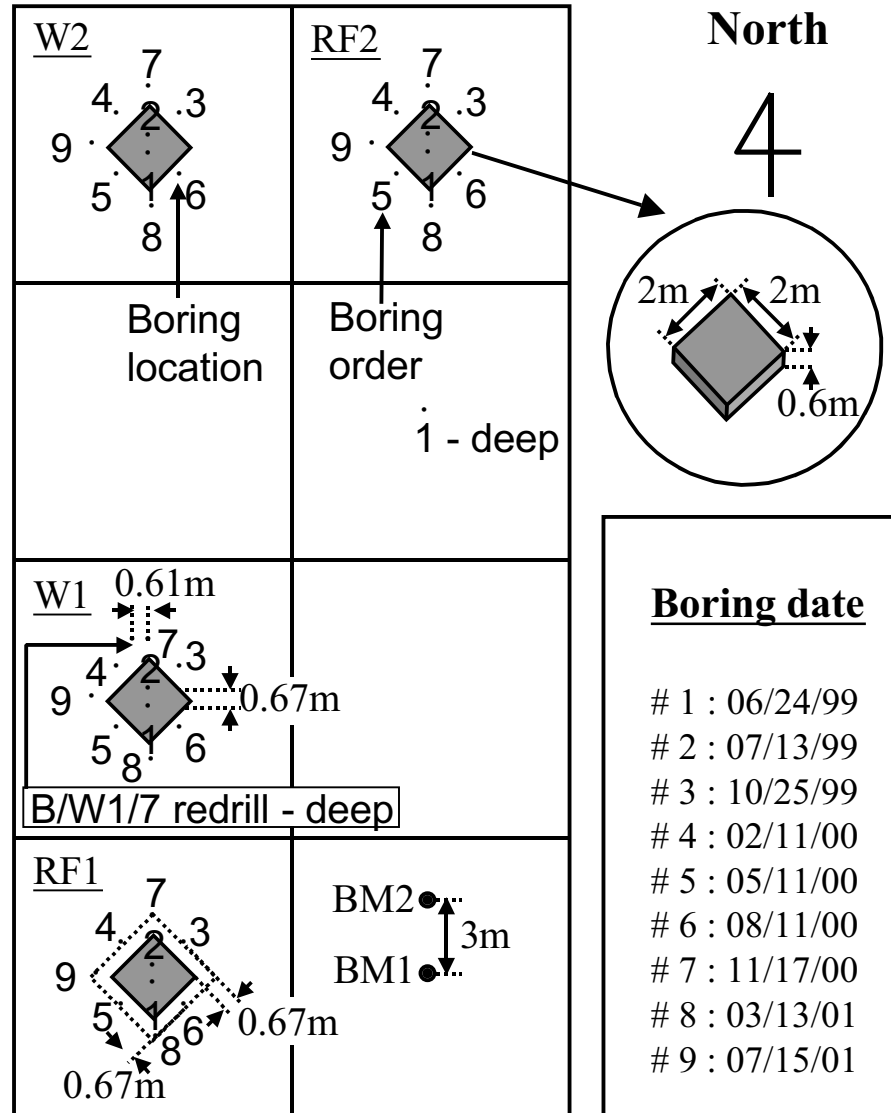


$$S = \sum H_i \Delta\epsilon_i = \sum H_i \frac{\Delta\sigma'_i}{E_i}$$



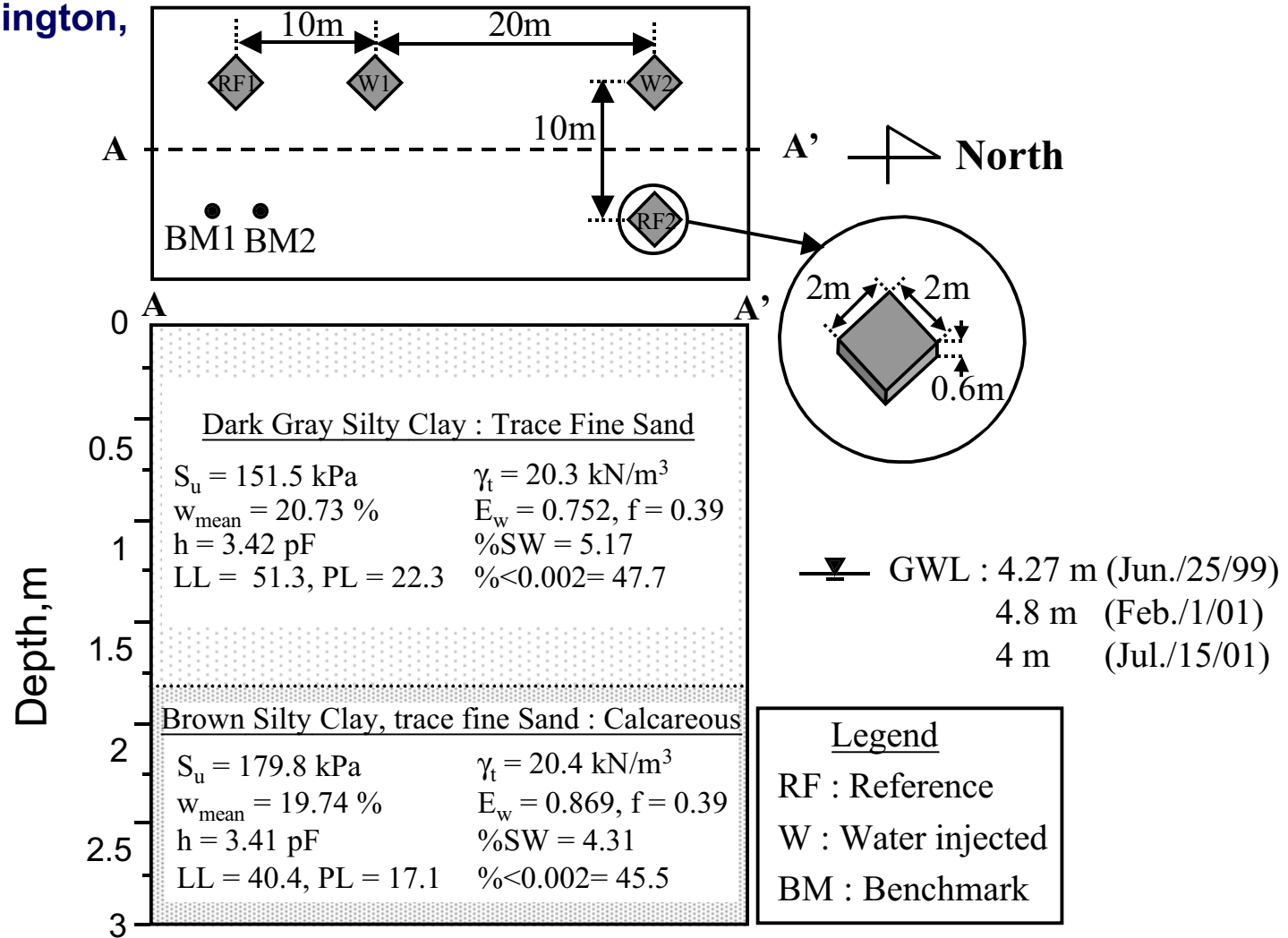
PLAN VIEW OF THE SITE

Site in Arlington, Texas



SOIL STRATIGRAPHY

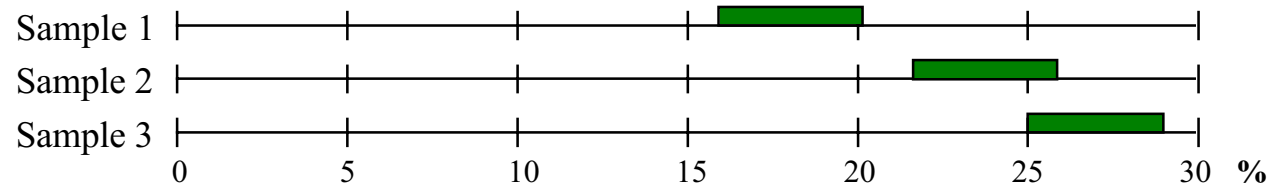
Site in Arlington, Texas



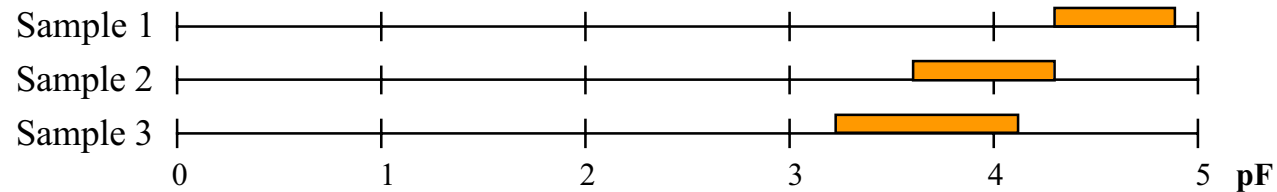
GARNER'S STUDY (1999)

3 samples at 3 water contents sent to 5 laboratory.

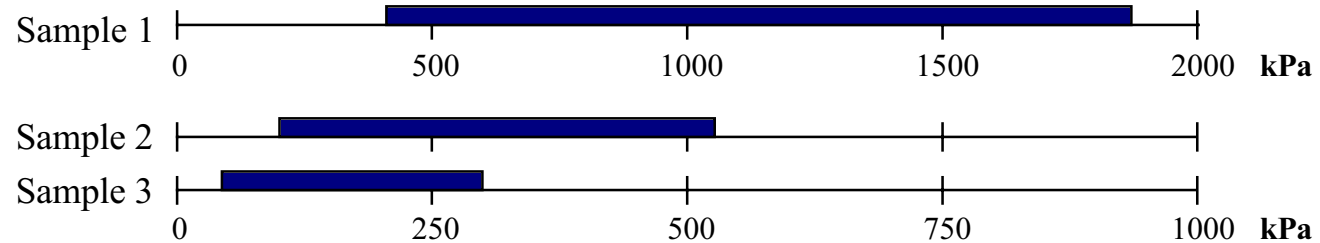
Water Content, %



Suction, pF

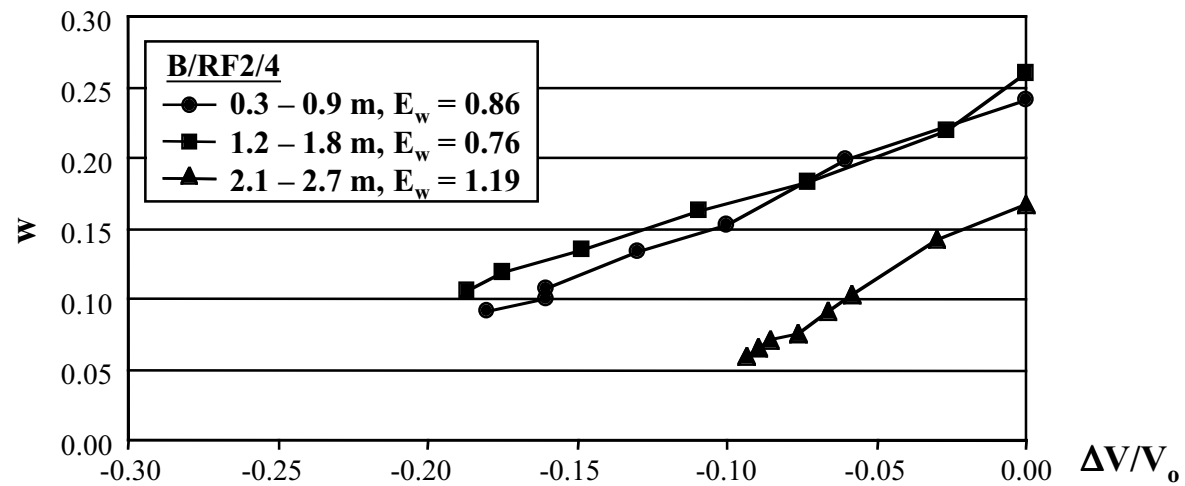
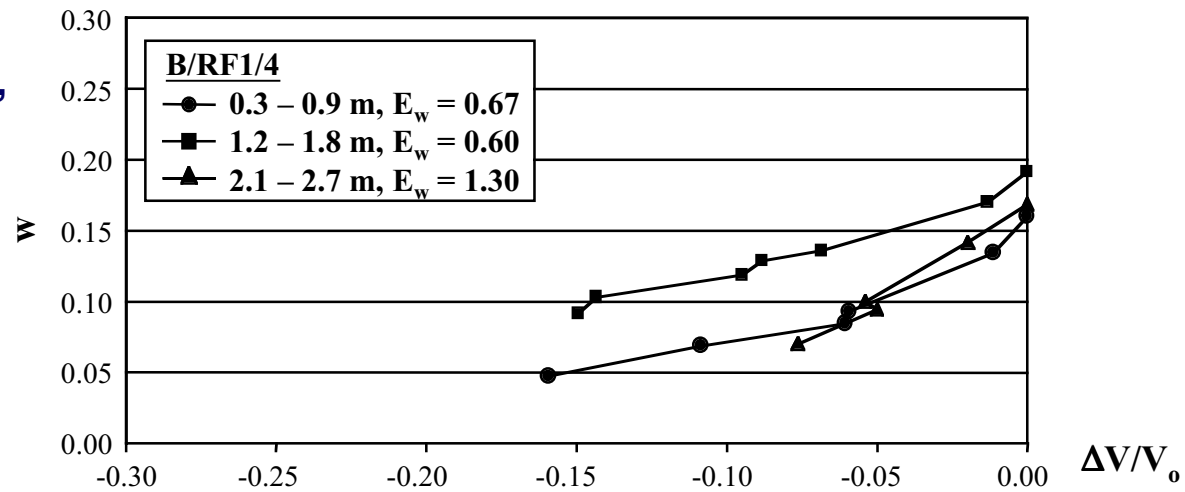


Suction, kPa

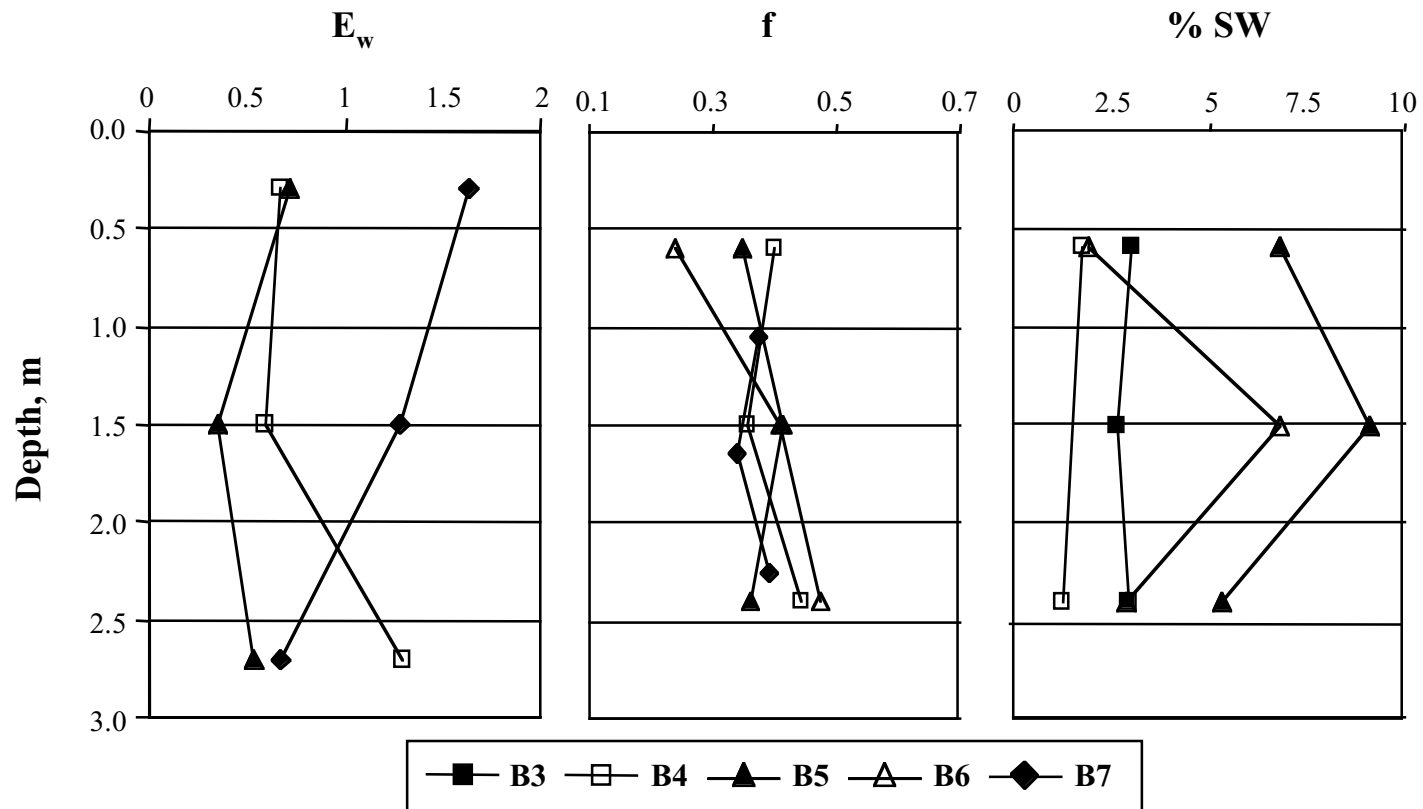


SHRINK TEST RESULTS (2)

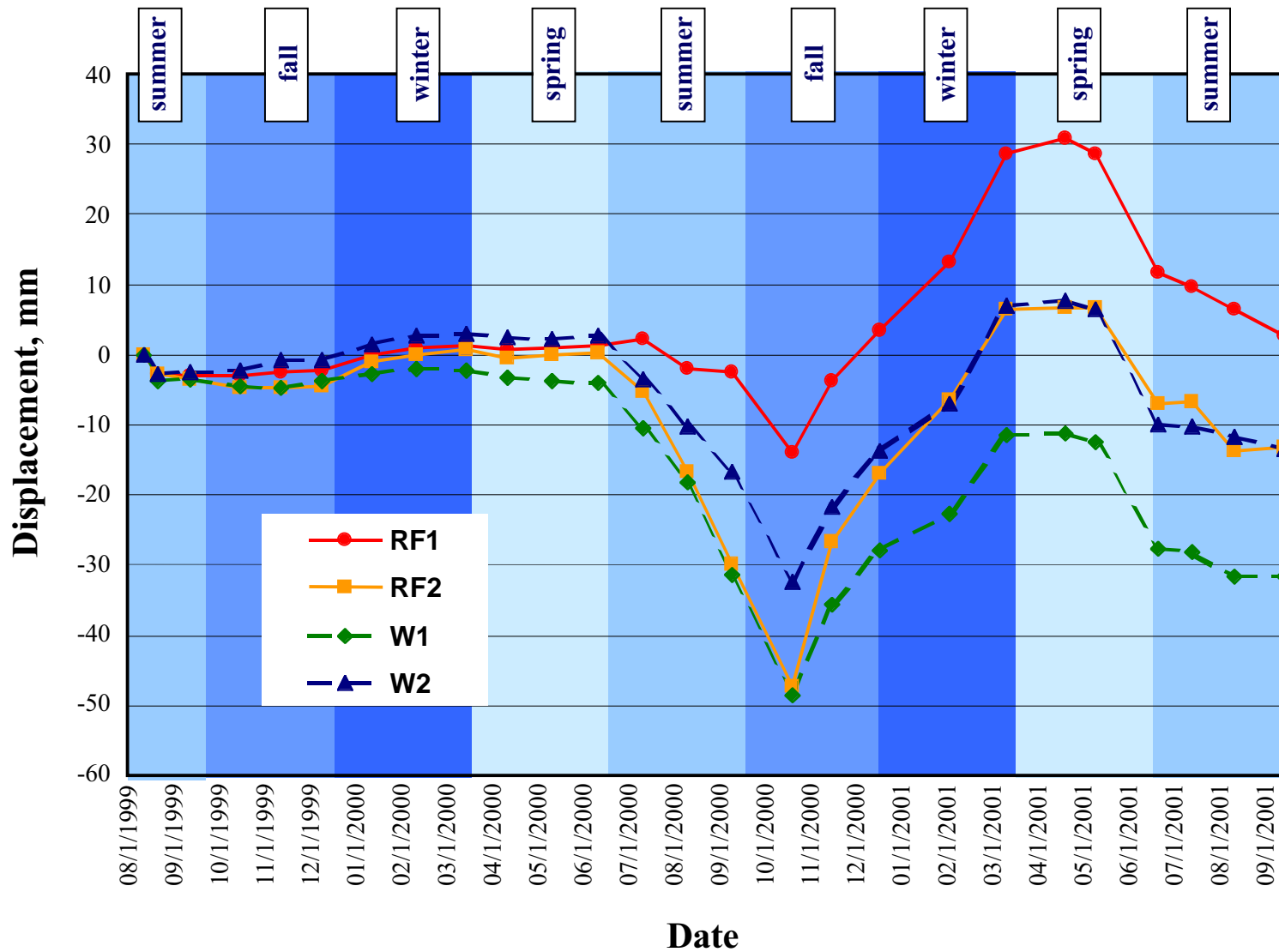
Three Samples
From Arlington,
Texas



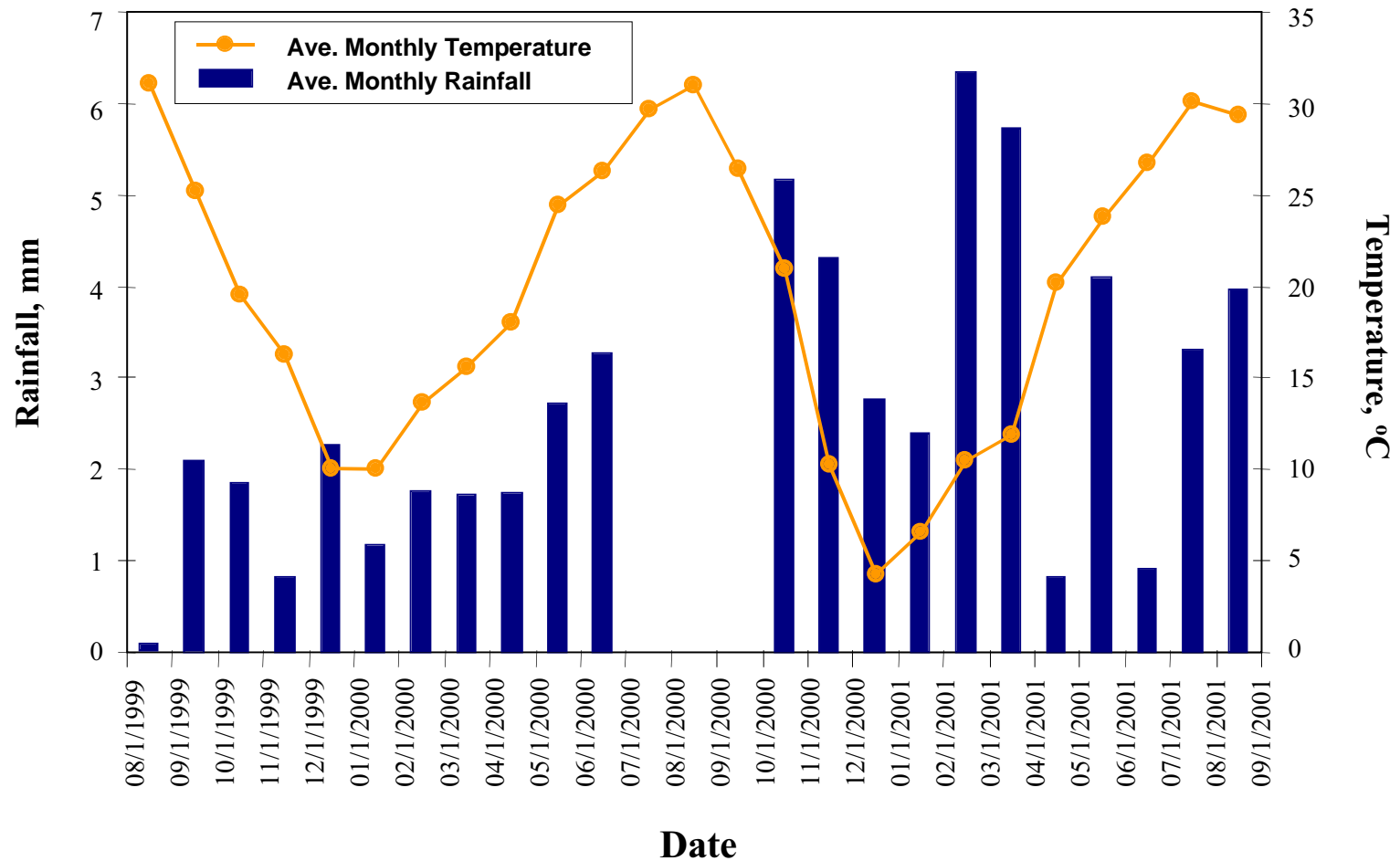
SELECTED SOIL TEST RESULT FOR RF1



FOOTING MOVEMENT OVER TWO YEARS

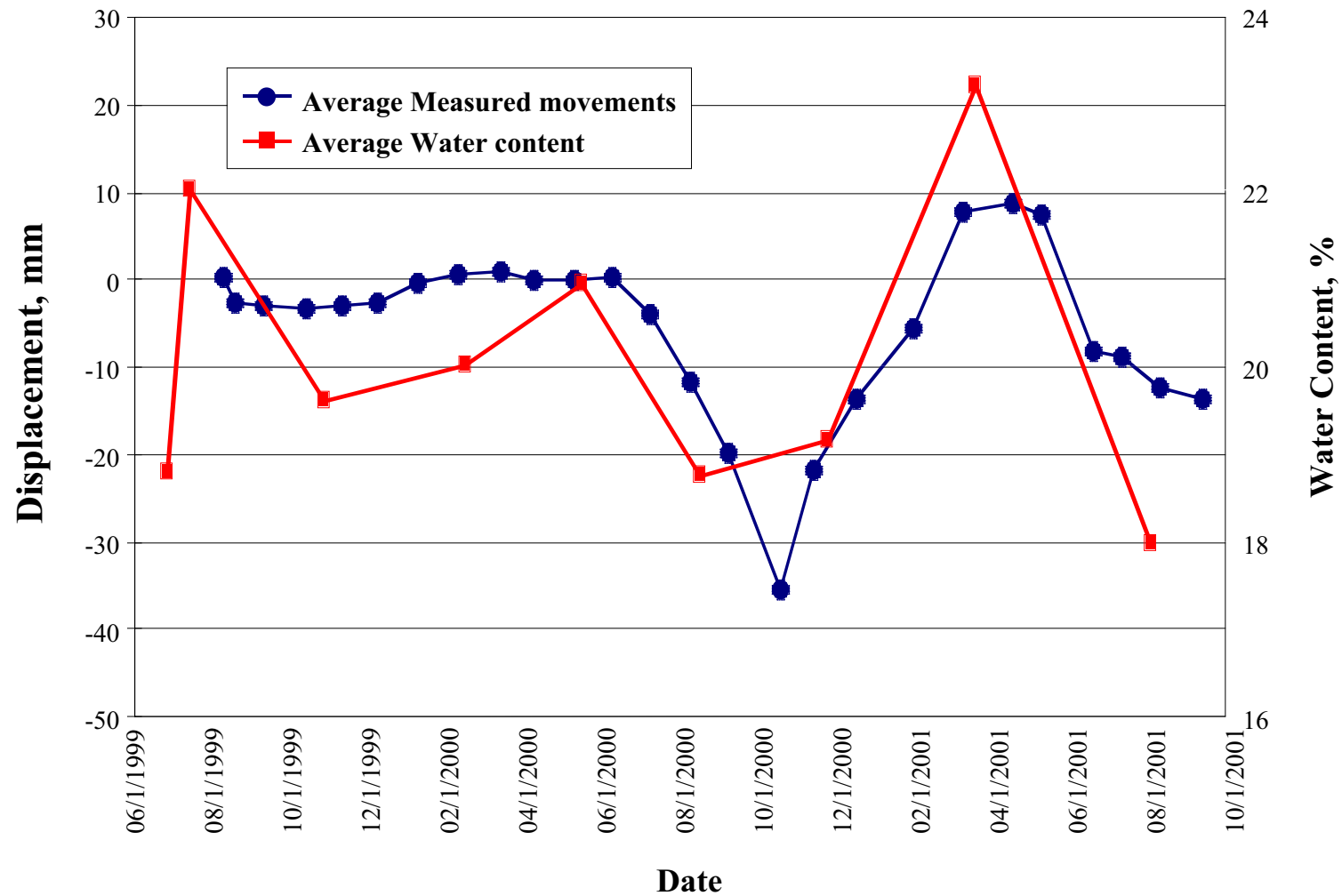


RAINFALL AND TEMPERATURE



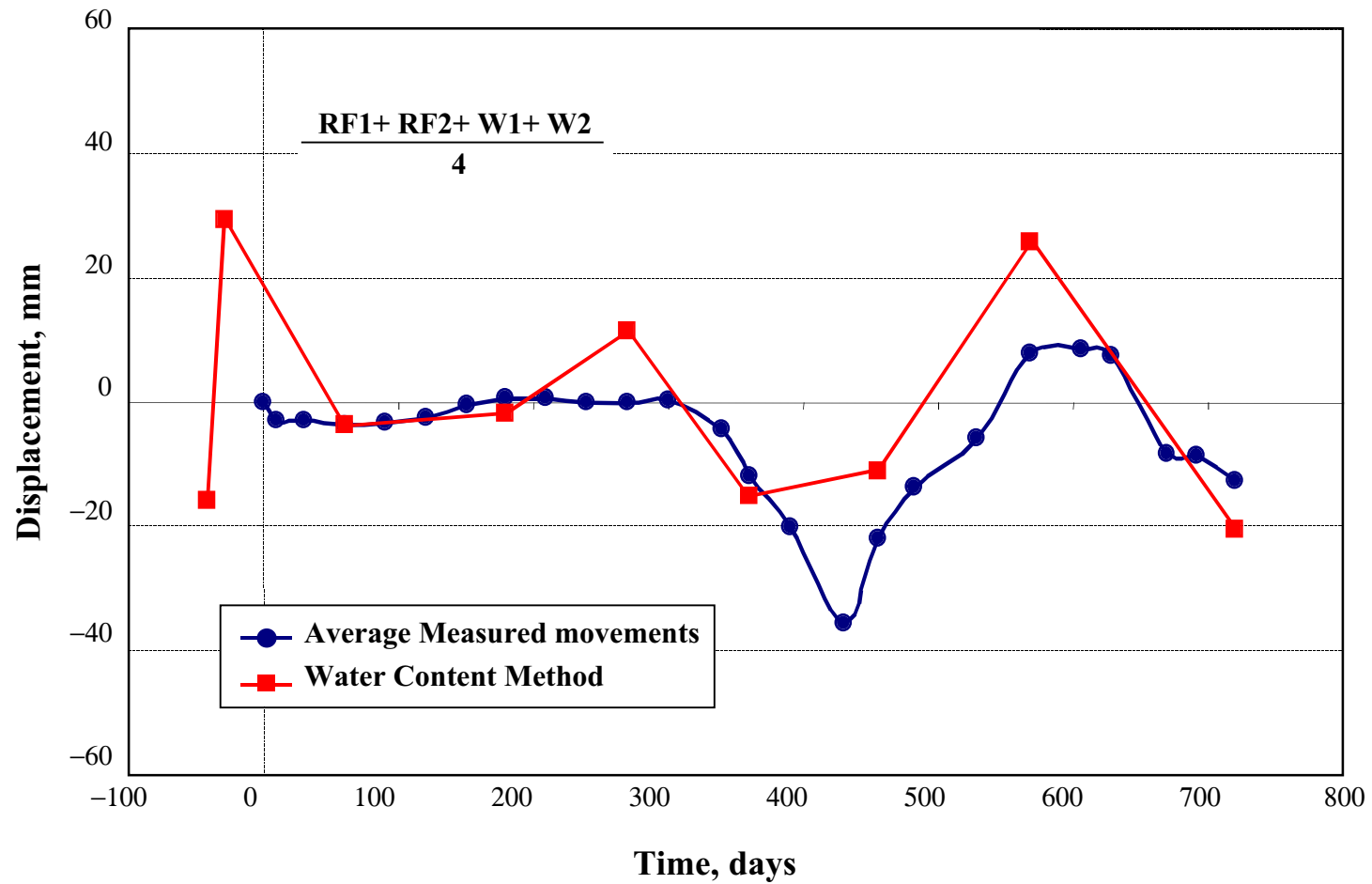
WATER CONTENT VARIATION AND MOVEMENT

Average of 4 Footings at a site in Arlington, Texas



PREDICTED AND MEASURED MOVEMENTS

Average of 4 Footings at a site
in Arlington, Texas



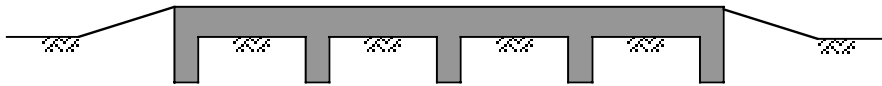
HOUSES ON EXPANSIVE CLAYS



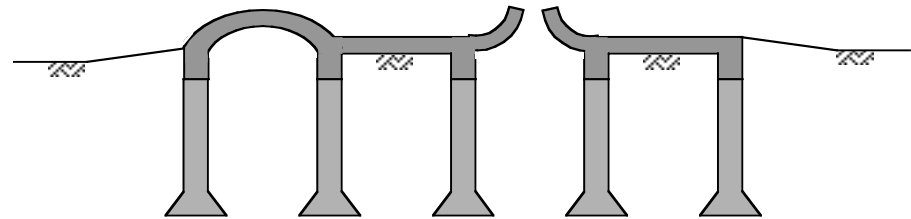
**MOST EXPENSIVE
NATURAL HAZARD
IN THE COUNTRY**

FOUNDATION SOLUTION

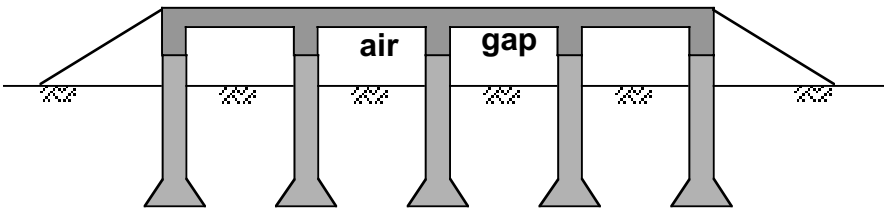
• Stiffened Slab on Grade



• Stiffened Slab on Grade & on Piers



• Elevated Structural Slab on Piers



• Thin Post Tensioned Slab

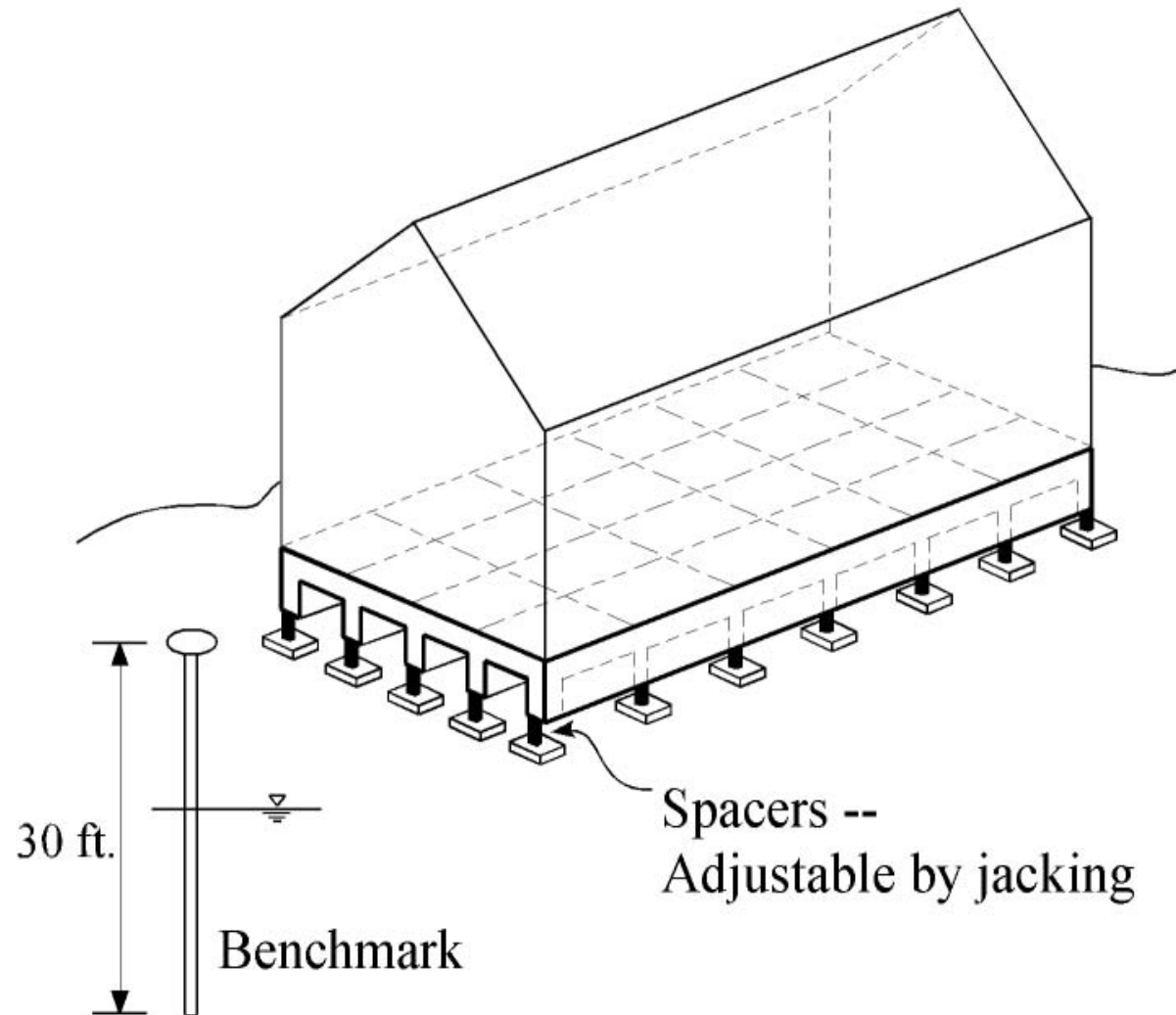


HOUSES ON EXPANSIVE CLAYS

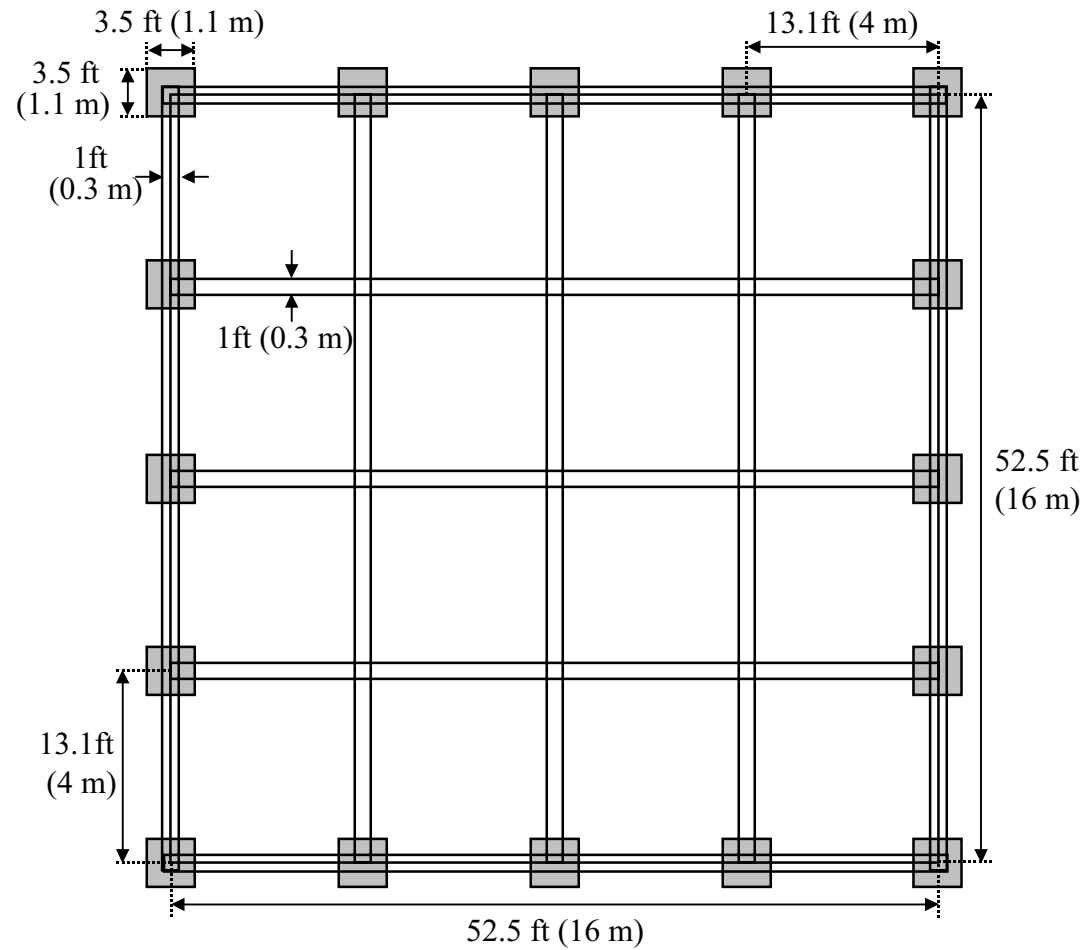
**VERY DIFFICULT TO PREDICT
THE SOIL MOVEMENT
(WEATHER, VEGETATION, DRAINAGE)**

**MUCH EASIER TO DESIGN
AN ADJUSTABLE FOUNDATION**

SMART FOUNDATION

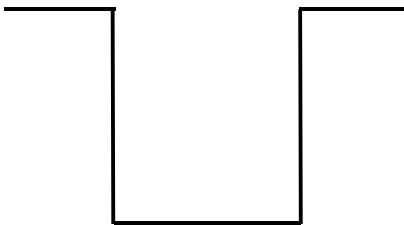


SMART FOUNDATION

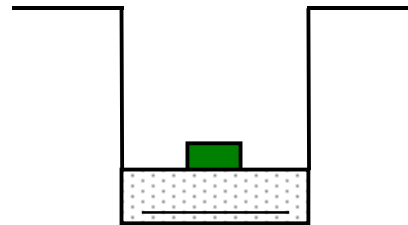


SMART FOUNDATION CONSTRUCTION

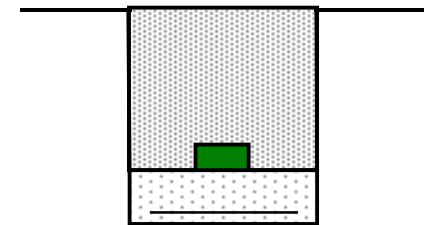
Make Cavity



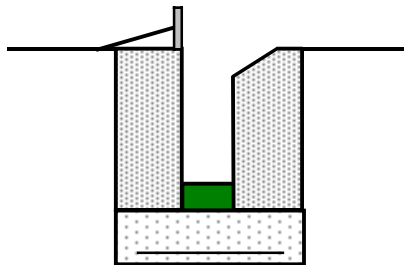
Cast Footing & Place Spacer



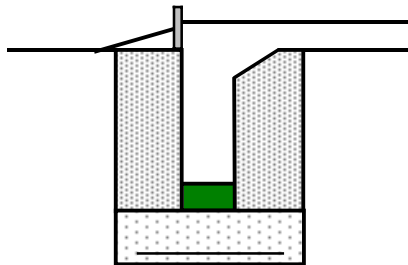
Back Fill



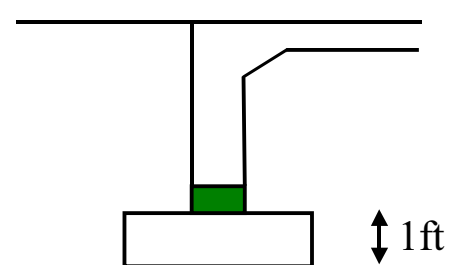
Excavate Trench



Cast Beam



Finish



COST COMPARISON

Conventional Waffle Slab

**16 m × 16 m × 0.1 m Slab on Grade with
0.9 m deep × 0.3 m thick Beams every 4 m**

\$24,000

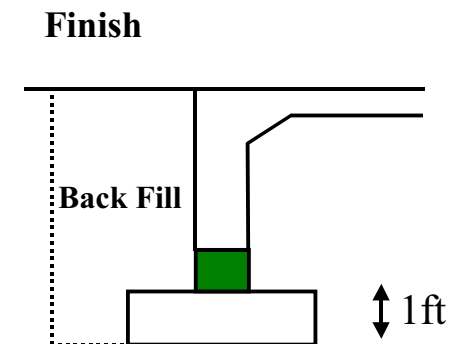
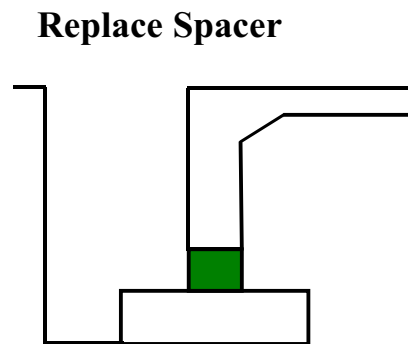
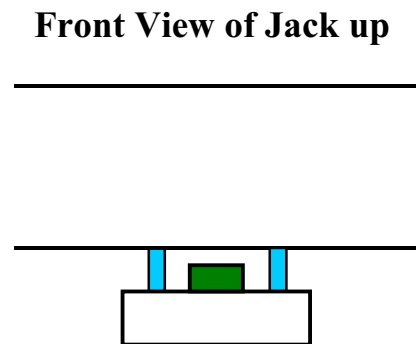
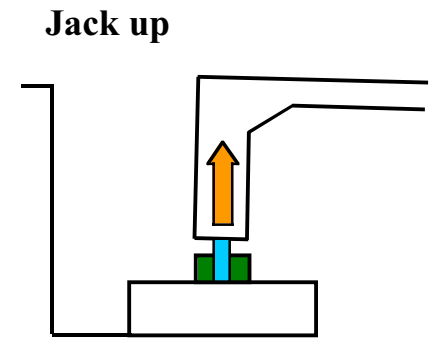
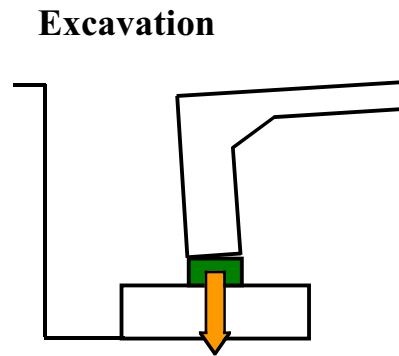
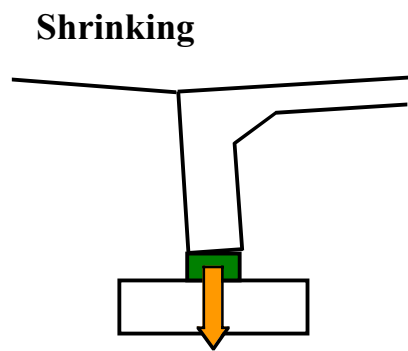
Smart Foundation

**16 m × 16 m × 0.1 m Slab on Grade with
0.9 m deep × 0.3 m thick Beams every 4 m
and 1 m × 1 m × 0.3 m Footings**

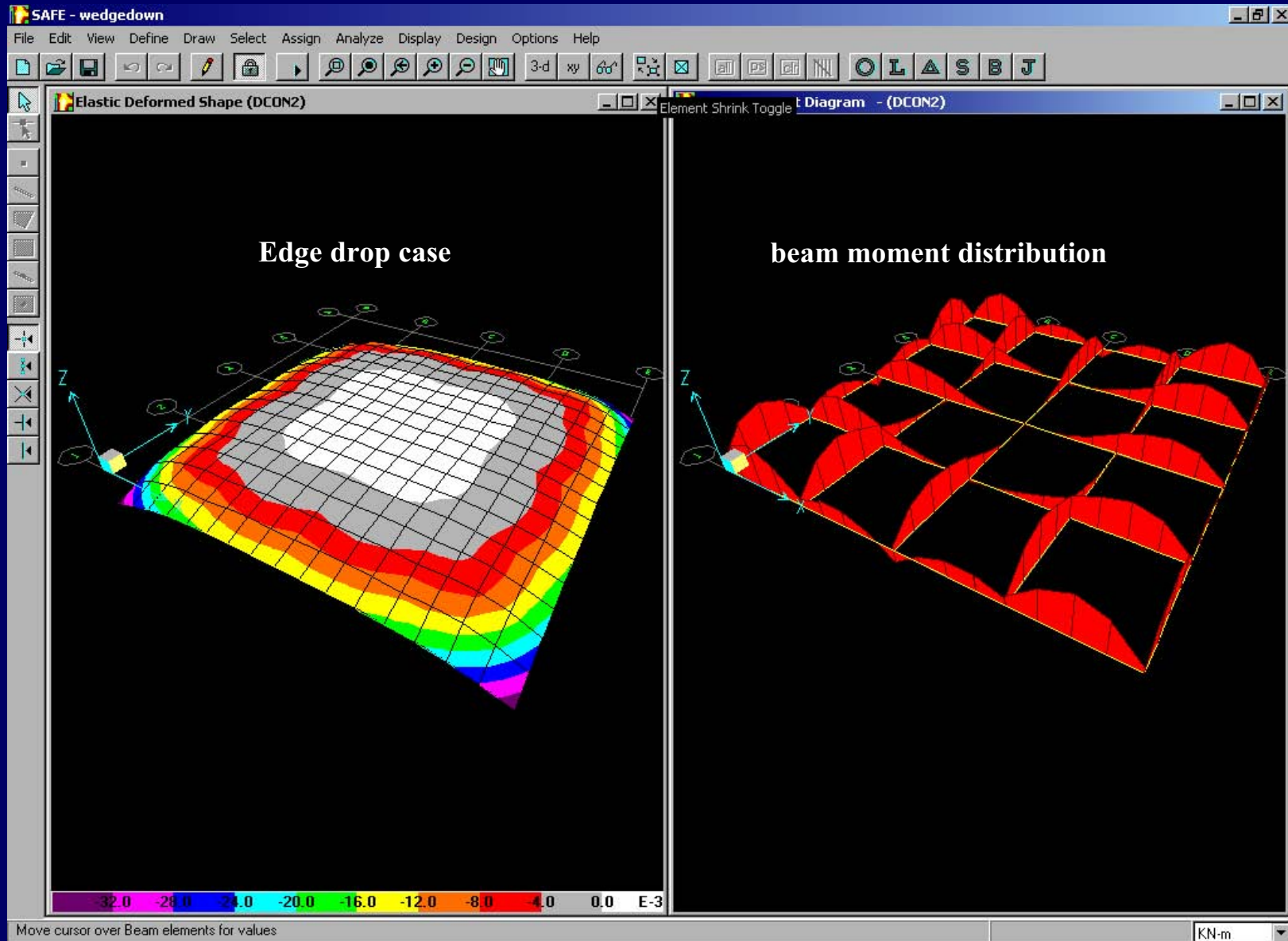
\$26,200

~ 10 % Increase in Cost

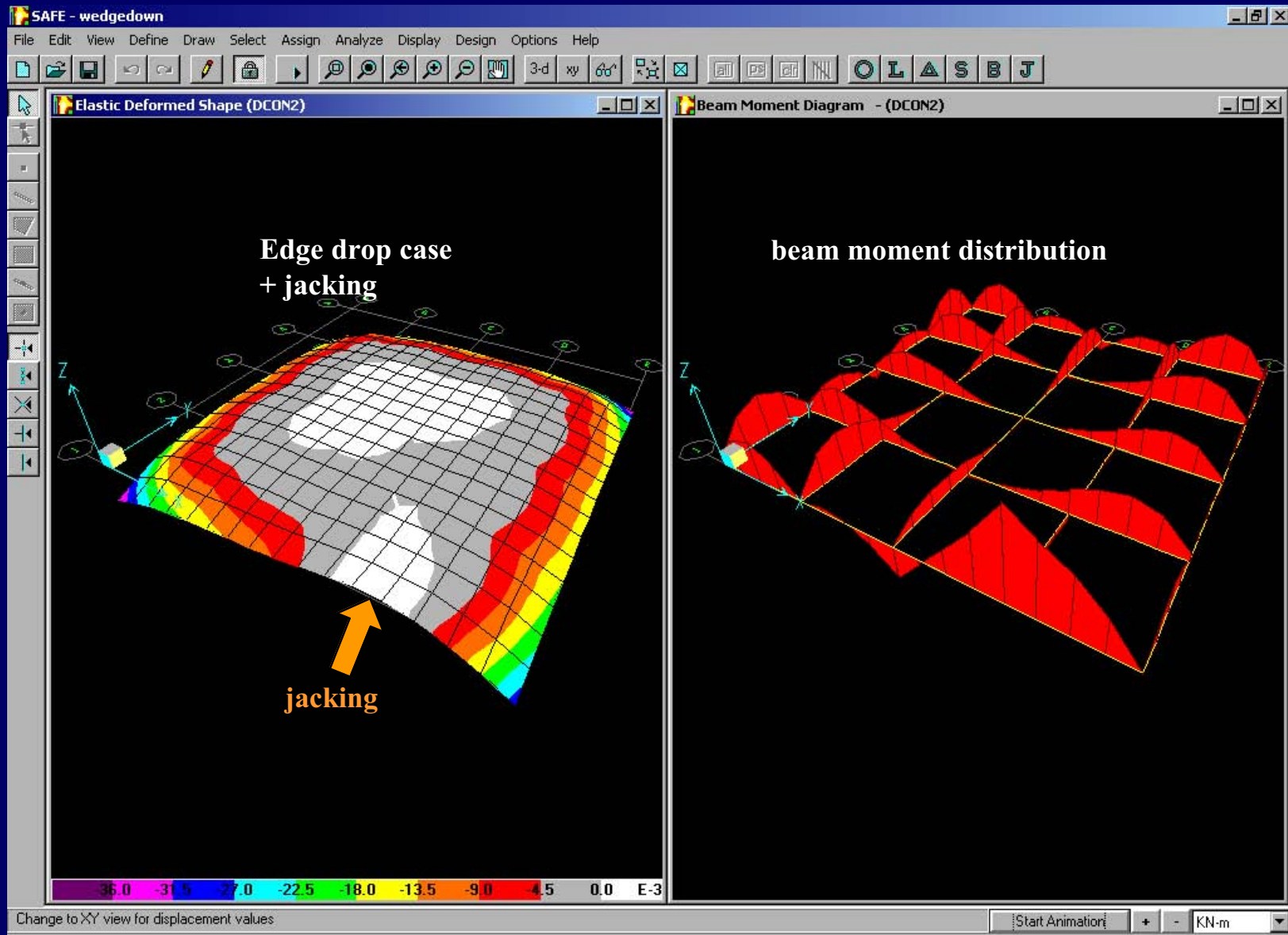
SMART FOUNDATION ADJUSTMENT



FOUNDATION ANALYSIS BY SAFE



FOUNDATION ANALYSIS BY SAFE



CONCLUSIONS

- **FUNDAMENTAL BEHAVIOR**
- **SHRINK TEST – WATER CONTENT METHOD PROPOSED**
- **SHRINK TEST – WATER CONTENT METHOD VERIFIED**
- **SMART FOUNDATIONS = ADJUSTABLE SOLUTION**